

A Convenient and Simple Synthesis of Densely Functionalized Cyclopenta[*cd*]azulenes and Cyclopenta[*ef*]heptalenes

by **Badugu Devendar**^{a)}, **Chien-Kuo Ku**^{*b)}, **Ling-Yu Cheng**^{a)}, **Shu-Juan Yang**^{a)}, **Jun-Xiong Chen**^{a)},
and **Chi-Phi Wu**^{*a)}

^{a)} Department of Chemistry, Chung Yuan Christian University, Chungli 32023, Taiwan
(e-mail: bdevendar@yahoo.com; chiphi@cycu.edu.tw)

^{b)} Department of Applied Physics and Chemistry, Taipei Municipal University of Education,
Taipei 10042, Taiwan

Syntheses of novel cyclopenta[*cd*]azulenes, **5**, **8**, and **13**, 1,2-dihydrocyclopenta[*cd*]azulenes, **6** and **12**, and cyclopenta[*ef*]heptalenes, **10**, **15**, and **16**, by simple procedures starting from tropolone in 6–8 steps are described.

Introduction. – Azulenes constitute a class of polycyclic non-benzenoid aromatic hydrocarbons that have abidingly attracted interest of chemists [1] because of their occurrence in a variety of natural products [2] and bioactive molecules [3]. These important compounds are used in various applications including cosmetics, dyes, pigments, co-polymers, and molecular materials [4]. Methods for syntheses of cyclopenta[*cd*]azulenes and cyclopenta[*ef*]heptalenes are very limited, due to its unique reactivity arising from the polarized π -electron system [5]. In 1974, *Richarz* and co-workers reported the synthesis of cyclopenta[*cd*]azulene, and 1,2-dihydrocyclopenta[*cd*]azulene derivatives from 2-azulenyl-4-acetic acid [5b]. Subsequently, *Toda et al.* developed the methodology of electrophilic substitution reactions for the synthesis of benz[*a*]indeno[1,2,3-*cd*]azulenes [5f]. However, new azulene transformation techniques are highly desirable for the future development of this class of compounds.

As a part of our research program aimed at developing a unified synthetic way toward azulene derivatives of carbo- and heterocyclic molecules [6], we have recently reported various 2-substituted azulene derivatives, and their applications in the syntheses of densely functionalized benz[*a*]azulene and multisubstituted azulene-furan frameworks [6c]. Recently, we have also developed a method for synthesis, characterization, and applications of densely functionalized pyridazines and fulvene-type compounds containing azulene moieties [6d]. On the basis of this experience, we herein report a facile and convenient methodology for densely functionalized cyclopenta[*cd*]azulenes and cyclopenta[*ef*]heptalenes starting from tropolone in 6–8 steps. To the best of our knowledge, syntheses of such frameworks derived from 4-substituted azulene derivatives have not been properly reported in the literature, and all these products presented in this work are new in the azulene chemistry.

Results and Discussion. – We first directed our studies toward the transformation of ethyl 4-chloroazulene-1-carboxylate (**1a**, prepared from commercially available

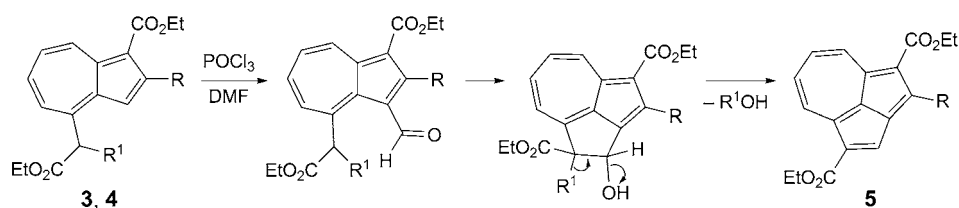
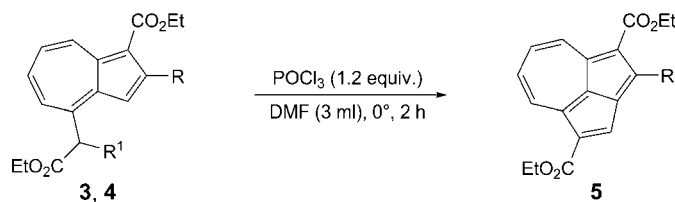
tropolone in four steps) [6a] to ethyl 4-(1-cyano-2-ethoxy-2-oxoethyl)azulene-1-carboxylate (**3a**; 70%) by treatment with ethyl 2-cyanoacetate and EtONa in DMF under reflux for 2 h (Table 1, Entry 1) [6a]. We also extended this synthetic procedure by diethyl malonate, which provided a mixture of diethyl 2-(1-ethoxycarbonyl)azulene-4-yl)malonate (**3d**) and ethyl 4-(2-ethoxy-2-oxoethyl)azulene-1-carboxylate (**4a**) in yields of 50 and 20%, respectively (Table 1, Entry 4). Further, we achieved the generalization of this synthetic method with **2**, and all products were well characterized by their spectral data (Table 1).

Table 1. Reactions of Ethyl Azulene-1-carboxylate Derivatives **1** and **2**^{a)}

Entry	Reactants 1, 2	X	R	EWG ^{c)}	Products	Yield [%] ^{d)}
1	1a	Cl	H	CN	3a	70
2	1b	Cl	Me	CN	3b	86
3	1c	Cl	EtO	CN	3c	72
4	1a	Cl	H	CO ₂ Et	3d/4a	50/20
5	1b	Cl	Me	CO ₂ Et	3e/4b	60/25
6	1c	Cl	EtO	CO ₂ Et	3f/4c	38/28
7	2a	EtO	H	CN	3a	81
8	2a	EtO	H	CO ₂ Et	3d/4a	30/11

^{a)} All reactions were carried out on a 2-mmol scale of reactants. ^{b)} Except **3a** and **3b** [6a], all compounds are new. ^{c)} EWG, Electron-withdrawing group. ^{d)} Yields of isolated products after column chromatography on silica gel.

After the successful synthesis of 4-substituted azulene derivatives, **3a–3f** and **4a–4c**, we focused our attention on the syntheses of various densely functionalized cyclopenta[*cd*]azulenes. First, for a model study, we selected **3a**, which was treated with POCl₃ in DMF at 0° for 2 h to provide diethyl cyclopenta[*cd*]azulene-1,4-dicarboxylate (**5a**) in a yield of 94% after purification by column chromatography (Table 2, Entry 1). The reaction proceeds *via Vilsmeier–Haack* formylation [7], more selectively at C(3), followed by intramolecular cyclization, which was confirmed by spectral analysis. To examine the scope of this reaction, we employed various 4-substituted azulene derivatives, **3b–3f**, **4a** and **4b**, to obtain the desired cyclopenta[*cd*]azulenes **5a–5c** in low-to-high yields (Table 2, Entries 2–8). Particularly, we noticed that the reactions of esters **3d–3f**, and **4a** and **4b** gave relatively low yields. However, this one-pot methodology demonstrated the potential in the construction of multisubstituted cyclopenta[*cd*]azulenes; a plausible mechanism for their formation is proposed in Scheme 1.

Scheme 1. Proposed Mechanism for the Formation of Cyclopenta[*cd*]azulene-1,4-dicarboxylate Derivatives **5**Table 2. One-Pot Synthesis of Cyclopenta[*cd*]azulene-1,4-dicarboxylate Derivatives **5**^{a)}

Entry	Reactants	R	R ¹	Products	Yield [%] ^{b)}
1	3a	H	CN	5a	94
2	3b	Me	CN	5b	90
3	3c	EtO	CN	5c	82
4	3d	H	CO ₂ Et	5a	55
5	3e	Me	CO ₂ Et	5b	48
6	3f	EtO	CO ₂ Et	5c	27
7	4a	H	H	5a	42
8	4b	Me	H	5b	51

^{a)} All reactions were carried out on a 1-mmol scale of reactants. ^{b)} Yields of isolated products after column chromatography on silica gel.

Next, we directed our attention to the development of an alternative methodology for the syntheses of cyclopenta[*cd*]azulenes using 4-substituted azulene derivatives. For these studies, we selected ethyl 4-(1-cyano-2-ethoxy-2-oxoethyl)azulene-1-carboxylate (**3a**), which was treated with paraformaldehyde in AcOH at 100° for 3 h to provide diethyl 1-cyano-1,2-dihydrocyclopenta[*cd*]azulene-1,4-dicarboxylate (**6a**) in 80% yield. The reaction apparently proceeded *via* a substitution, and a subsequent intramolecular condensation (Table 3, Entry 1). Encouraged by these results, we successfully transformed representative 4-substituted azulene derivatives **3b–3e** to 1,2-dihydrocyclopenta[*cd*]azulenes **6b–6e**. Interestingly, we noticed that the ester derivatives of 4-substituted azulenes **3d** and **3e** furnished mixtures of 1,2-dihydrocyclopenta[*cd*]azulenes **6d** and **6e**, and novel type of azulenolactone frameworks **7a** and **7b** in good yields. Similarly, compounds **4a** and **4b** afforded exclusively azulenolactones **7a** and **7b**, respectively, in high yields (Table 3). Subsequently, 1,2-dihydrocyclopenta[*cd*]azulene derivatives **6a–6e** were further treated with 2,3-dichloro-5,6-dicyano-1,4-benzoqui-

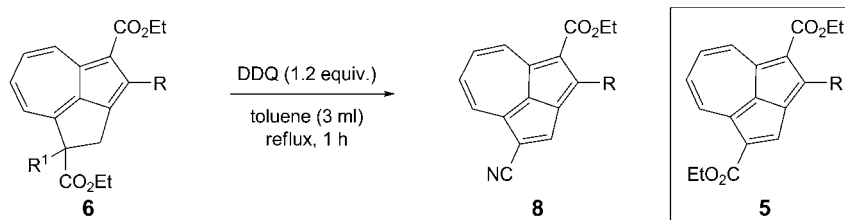
Table 3. One-Pot Synthesis of 1,2-Dihydrocyclopenta[cd]azulene-1,4-dicarboxylates and Lactone Derivatives, **6** and **7**^a), Respectively

Entry	Reactants	R	R ¹	Products	Yield (%) ^b
1	3a	H	CN	6a	80
2	3b	Me	CN	6b	96
3	3c	EtO	CN	6c	92
4	3d	H	CO ₂ Et	6d/7a	38/52
5	3e	Me	CO ₂ Et	6e/7b	35/54
6	4a	H	H	7a	85
7	4b	Me	H	7b	78

^a) All reactions were carried out on a 1-mmol scale of reactants. ^b) Yields of isolated products after column chromatography on silica gel.

none (DDQ) in toluene under reflux for 1 h to give fully aromatized cyclopenta[cd]-azulenes, **8a–8c**, **5a** and **5b**, in good-to-excellent yields (Table 4). All the products were well-characterized by their spectral data. By this alternative method, cyclopenta[cd]-azulenes were obtained in lower yields as compared to those of the direct *Vilsmeier–Haack* formylation method.

Subsequently, we concentrated our efforts on the construction of cyclopenta[ef]-heptalene frameworks. For this purpose, we selected ethyl 4-(2-ethoxy-2-oxoethyl)-3-(3-oxobutyl)azulene-1-carboxylate (**9a**), which was prepared from the reaction of ethyl 4-(2-ethoxy-2-oxoethyl)azulene-1-carboxylate (**4a**), methyl vinyl ketone, and TsOH in EtOH at room temperature for 1 h (Scheme 2). Compound **9a** was further transformed to the desired densely functionalized diethyl 5-methyl-3,4-dihydrocyclopenta[ef]heptalene-1,6-dicarboxylate (**10a**) under various conditions. The best result was obtained *via* the intramolecular aldol pathway in the presence of EtONa in refluxing EtOH (62% yield). Encouraged by these promising results, we converted ethyl 4-(2-ethoxy-2-oxoethyl)azulene-1-carboxylate derivatives **4b** and **4c** to the desired 3,4-dihydrocyclopenta[ef]heptalene-1,6-dicarboxylates **10b** and **10c**, respectively, in good yields (Scheme 2). Compounds **9a–9c** were also transformed into multisubstituted dihydrocyclopenta[cd]azulenes **12a–12c** in good yields (64–82%) *via* intramolecular cyclization of compounds **11a–11c**, respectively. Compounds **12a–12c** were further oxidized with 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) to afford fully aromatized cyclopenta[cd]azulenes **13a–13c** in excellent yields (Scheme 2). A plausible mechanism for the syntheses of cyclopenta[ef]heptalenes **10** is depicted in Scheme 3. Subsequently, we also synthesized other interesting derivatives of cyclopenta[ef]heptalenes **15a** and **16a** from ethyl 4-(1-ethoxy-1,5-dioxohexan-2-yl)azulene-1-carboxylate

Table 4. Oxidations of 1,2-Dihydrocyclopenta[cd]azulene-1,4-dicarboxylate Derivatives **6**^{a)}

Entry	Reactant	R	R ¹	Products	Yield [%] ^{b)}
1	6a	H	CN	8a	78
2	6b	Me	CN	8b	86
3	6c	EtO	CN	8c	80
4	6d	H	CO ₂ Et	5a	56
5	6e	Me	CO ₂ Et	5b	41

^{a)} All reactions were carried out on a 1-mmol scale of reactants. ^{b)} Yields of isolated products after column chromatography on silica gel.

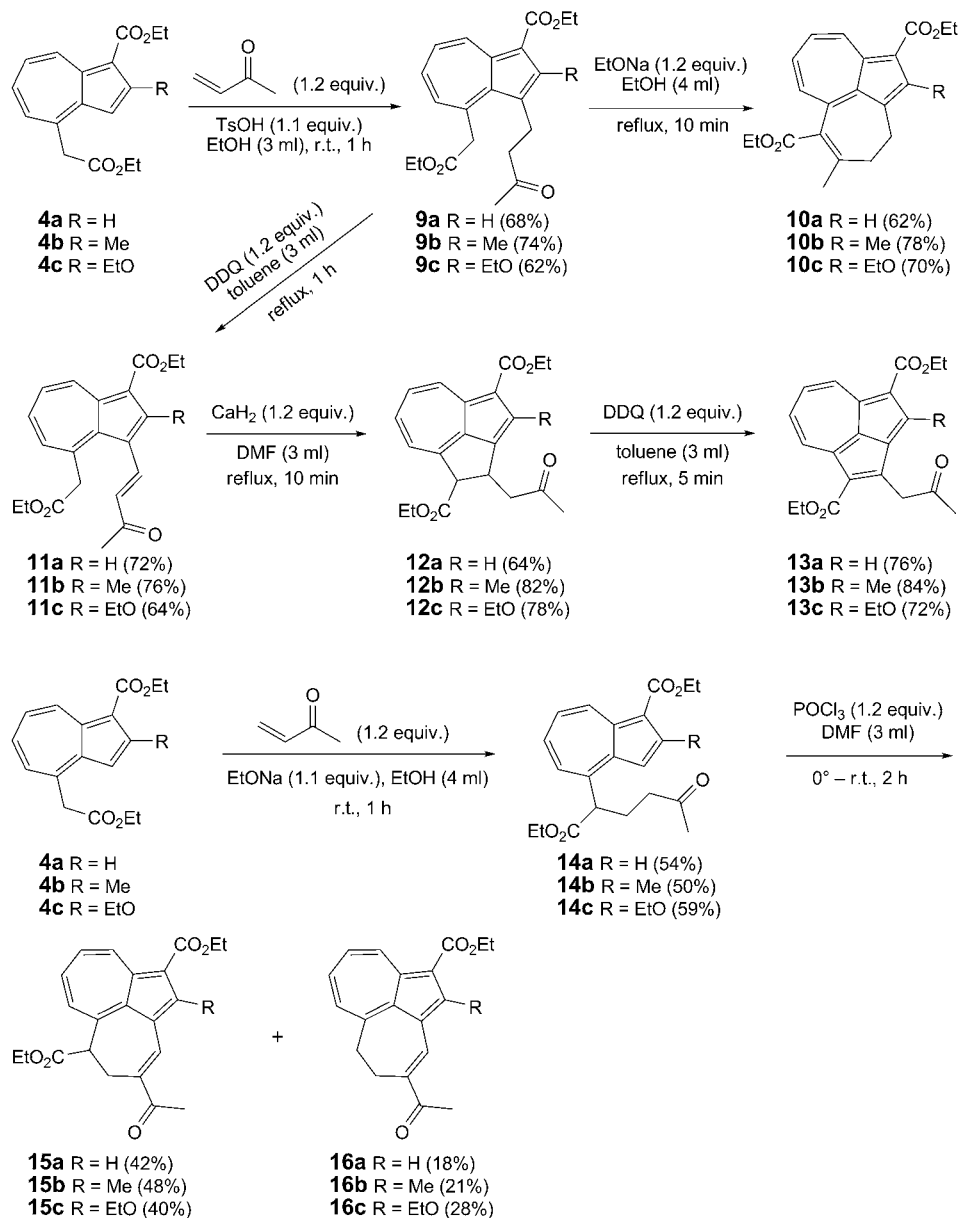
(**14a**), which, in turn, was obtained from the reaction of **4a** with POCl₃ in DMF at 0° to room temperature for 2 h. The reaction presumably proceeded by a *Vilsmeier–Haack* formylation and subsequent cyclization reactions. To evaluate the generality of this reaction, we converted compounds **4b** and **4c** into the desired cyclopenta[*ef*]heptalenes **15b**, **15c**, and **16b**, and **16c**, respectively, in good yields (*Scheme 2*).

Finally, we have also developed an alternative methodology for the synthesis of ethyl 4-acetyl-5,6-dihydrocyclopenta[*ef*]heptalene-1-carboxylate (**16a**) from ethyl 4-(1-ethoxy-1,5-dioxohexan-2-yl)-3-formylazulene-1-carboxylate (**19**), generated from ethyl 4-(1-ethoxy-1,5-dioxohexan-2-yl)-3-methylazulene-1-carboxylate (**18**), which, in turn, was prepared from ethyl 4-(2-ethoxy-2-oxoethyl)-3-methylazulene-1-carboxylate (**17**) [6a] with EtONa in EtOH under reflux for 5 min, in 86% yield. Compound **17** was also treated with 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) in toluene under reflux for 20 min to furnish cyclopenta[*cd*]azulene **5a** in 42% yield. The structures of all these compounds were confirmed by spectral analyses (*Scheme 4*).

Conclusions. – We have synthesized 4-substituted azulenes **3** and **4** from ethyl 4-chloroazulene-1-carboxylate (**1**) and its ethoxy derivative **2**. These derivatives were further used in the syntheses of novel derivatives of various cyclopenta[*cd*]azulenes, **5**, **8**, and **13**, 1,2-dihydrocyclopenta[*cd*]azulenes, **6** and **12**, and cyclopenta[*ef*]heptalenes, **10**, **15**, and **16**, by simple procedures. Utilization of these methodologies for the synthesis of biologically important molecules is in progress.

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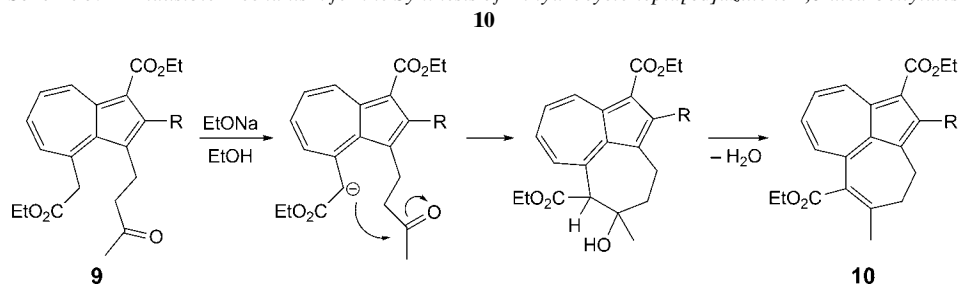
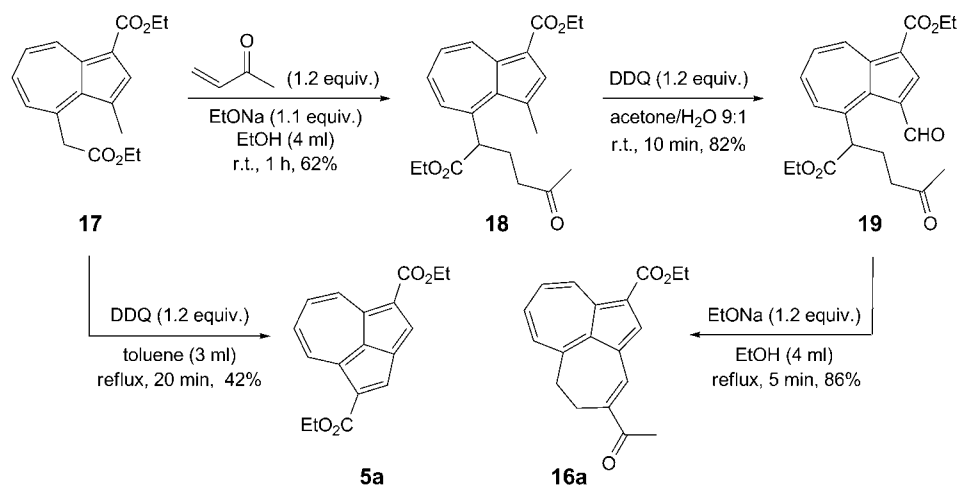
Scheme 2. Syntheses of Cyclopenta- and Cyclohepta[cd]azulenes from Compounds 4



Experimental Part

General. Unless stated otherwise, reagents were obtained from commercial sources and used without further purification. Unless indicated otherwise, yields were calculated after flash column chromatography (CC). TLC: Merck 5735 DC-Alufolien Kiesegel 60 F₂₅₄. CC: Art. 9385 Kiesegel 60 H silica gel

Scheme 3. A Plausible Mechanism for the Synthesis of Dihydrocyclohepta[cd]azulene-1,6-dicarboxylates

Scheme 4. Syntheses of Cyclopenta- and Cyclohepta[cd]azulenes from Compound **17**

(SiO₂; 230–400 mesh, Merck). IR Spectra: JASCO FT/IR-460; as thin film on KBr plates; $\tilde{\nu}$ in cm⁻¹. ¹H- and ¹³C-NMR spectra: Bruker ACE-300 FT-NMR; δ referenced to CDCl₃, *J* in Hz. MS and HR-EI-MS: Shimadzu-LC/MS-2010A and JEOL-JMS-HX-100 mass spectrometers, resp.; in *m/z* (rel. %).

Diethyl Cyclopenta[cd]azulene-1,4-dicarboxylate (5a). To a soln. of ethyl 4-(1-cyano-2-ethoxy-2-oxoethyl)azulene-1-carboxylate (**3a**; 1 mmol, 0.311 g) in DMF (3 ml) at 0° was added POCl₃ (1.2 mmol, 0.1 ml) slowly within 10 min and the reaction continued for 2 h. Then, the mixture was diluted with H₂O (10 ml), the reaction was quenched with aq. KOH soln., and the mixture was extracted with AcOEt. The combined org. layers were dried (MgSO₄), concentrated, and chromatographed (SiO₂; hexane/AcOEt 2:1) to afford **5a** (0.278 g, 94%). Brown solid. M.p. 144–145°. IR (KBr): 1690, 1460, 1420, 1289. ¹H-NMR: 1.43 (*t*, *J* = 7.0, 6 H); 4.39 (*q*, *J* = 7.0, 4 H); 8.22 (*s*, 2 H); 8.31–8.34 (*m*, 2 H); 9.27–9.30 (*m*, 2 H). ¹³C-NMR: 14.6; 60.0; 124.8; 130.3; 135.0; 136.9; 143.5; 145.2; 164.7. LC/MS: 297 (17, *M*⁺), 296 (100, *M*⁺), 268 (14), 251 (45), 240 (18), 224 (20), 223 (72), 195 (20), 178 (20), 150 (54). HR-EI-MS: 296.1056 (*M*⁺, C₁₈H₁₆O₄⁺; calc. 296.1044).

Diethyl 2-Methylcyclopenta[cd]azulene-1,4-dicarboxylate (5b). As described for **5a**; from **3b**. Yield: 90%. Green solid. M.p. 115–116°. IR (KBr): 2980, 2920, 1670, 1450, 1400, 1255, 1250. ¹H-NMR: 1.37 (*t*, *J* = 7.1, 3 H); 1.39 (*t*, *J* = 7.2, 3 H); 2.77 (*s*, 3 H); 4.33 (*q*, *J* = 7.2, 2 H); 4.35 (*q*, *J* = 7.1, 2 H); 8.13 (*s*, 1 H); 8.14–8.16 (*m*, 2 H); 9.10–9.12 (*m*, 2 H). ¹³C-NMR: 14.6; 16.6; 29.7; 59.6; 59.9; 120.2; 124.0; 133.5; 133.7;

135.1; 135.2; 135.3; 137.0; 141.1; 143.7; 146.9; 160.1; 164.7; 165.4. LC/MS: 311 (16, M^+), 310 (100, M^+), 265 (35), 253 (18.7), 237 (40), 208 (25), 192 (9). HR-EI-MS: 310.1200 (M^+ , $C_{19}H_{18}O_4^+$; calc. 310.1205).

Diethyl 2-Ethoxycyclopenta[cd]azulene-1,4-dicarboxylate (5c). As described for **5a**; from **3c**. Yield: 82%. Green solid. M.p. 98–99°. IR (KBr): 2960, 1683, 1660, 1490, 1450, 1162, 1080, 780. 1H -NMR: 1.43 (t , $J=7.0$, 3 H); 1.44 (t , $J=7.2$, 3 H); 1.68 (t , $J=7.0$, 3 H); 4.39 (q , $J=7.0$, 2 H); 4.40 (q , $J=7.2$, 2 H); 4.67 (q , $J=7.0$, 2 H); 8.06 (dd , $J=4.9$, 10.4, 1 H); 8.11 (s , 1 H); 8.22 (dd , $J=4.9$, 10.4, 1 H); 9.14 (d , $J=9.6$, 1 H); 9.23 (d , $J=9.6$, 1 H). ^{13}C -NMR: 14.6; 59.3; 60.0; 70.0; 103.2; 118.5; 124.3; 132.2; 133.0; 134.8; 135.4; 137.8; 140.1; 141.0; 147.8; 164.3; 164.7; 172.7. LC/MS: 340 (100, M^+), 295 (22), 268 (54), 266 (52), 238 (26), 221 (97), 194 (44). HR-EI-MS: 340.1306 (M^+ , $C_{20}H_{20}O_5^+$; calc. 340.1305).

Diethyl 1-Cyano-1,2-dihydrocyclopenta[cd]azulene-1,4-dicarboxylate (6a). To a soln. of **3a** (1 mmol, 0.311 g) in AcOH (3 ml) at r.t. was added paraformaldehyde (3 mmol, 0.090 g), and the mixture was heated at 100° for 3 h. Then, the mixture was allowed to cool to r.t., diluted with H₂O (10 ml), and extracted with AcOEt. The combined org. layer was dried (MgSO₄), concentrated, and subjected CC (SiO₂; hexane/AcOEt 2:1) to afford **6a** (0.258 g, 80%). Blue solid. M.p. 90–91°. IR (KBr): 2895, 2850, 2200, 1740, 1680, 1600, 1450, 1200, 790. 1H -NMR: 1.30 (t , $J=7.1$, 3 H); 1.42 (t , $J=7.1$, 3 H); 3.95 (d , $J=16.5$, 1 H); 4.21 (d , $J=16.5$, 1 H); 4.32 (q , $J=7.1$, 2 H); 4.42 (q , $J=7.1$, 2 H); 7.40 (t , $J=10.0$, 1 H); 7.48 (d , $J=9.7$, 1 H); 7.75 (t , $J=10.0$, 1 H); 8.05 (s , 1 H); 9.11 (d , $J=9.8$, 1 H). ^{13}C -NMR: 13.8; 14.5; 39.0; 50.0; 58.4; 63.9; 118.0; 121.4; 121.6; 127.0; 131.9; 132.8; 133.6; 139.0; 139.5; 148.4; 150.4; 165.0; 166.1. LC/MS: 324 (13.5, M^+), 323 (41.5, M^+), 278 (11.9), 250 (74.7), 222 (15.2), 204 (16.7), 177 (100), 150 (17.4). HR-EI-MS: 323.1150 (M^+ , $C_{19}H_{17}NO_4^+$; calc. 323.1153).

Diethyl 1-Cyano-3-methyl-1,2-dihydrocyclopenta[cd]azulene-1,4-dicarboxylate (6b). As described for **6a**; from **3b**. Yield: 96%. Blue solid. M.p. 133–134°. IR (KBr): 2210, 1730, 1680, 1440, 1210. 1H -NMR: 1.35 (t , $J=7.2$, 3 H); 1.45 (t , $J=7.1$, 3 H); 2.78 (s , 3 H); 3.90 (d , $J=16.3$, 1 H); 4.15 (d , $J=16.3$, 1 H); 4.31 (q , $J=7.1$, 2 H); 4.40 (q , $J=7.2$, 2 H); 7.38 (t , $J=10.0$, 1 H); 7.45 (t , $J=9.7$, 1 H); 7.70 (t , $J=10.0$, 1 H); 9.05 (d , $J=9.7$, 1 H). ^{13}C -NMR: 13.9; 14.6; 16.4; 38.1; 57.8; 59.8; 63.9; 118.2; 119.3; 121.5; 127.1; 133.2; 134.7; 137.3; 137.6; 146.3; 146.7; 148.4; 165.8; 166.3. LC/MS: 338 (19.7, M^+), 337 (88.2, M^+), 293 (4.8), 264 (100), 236 (5.7), 191 (97). HR-EI-MS: 337.1285 (M^+ , $C_{20}H_{19}NO_4^+$; calc. 337.1309).

Diethyl 1-Cyano-3-ethoxy-1,2-dihydrocyclopenta[cd]azulene-1,4-dicarboxylate (6c). As described for **6a**; from **3c**. Yield: 92%. Blue solid. M.p. 128–129°. IR (KBr): 2200, 1729, 1680, 1410, 1178. 1H -NMR: 1.32 (t , $J=7.1$, 3 H); 1.36 (t , $J=7.2$, 3 H); 1.48 (t , $J=7.0$, 3 H); 3.70 (d , $J=16.2$, 1 H); 3.99 (d , $J=16.2$, 1 H); 4.09 (q , $J=7.0$, 2 H); 4.28 (q , $J=7.2$, 2 H); 4.47 (q , $J=7.0$, 2 H); 7.06 (t , $J=10.4$, 1 H); 7.56 (t , $J=10.0$, 1 H); 7.68 (t , $J=10.4$, 1 H); 9.14 (d , $J=9.6$, 1 H). ^{13}C -NMR: 14.1; 14.8; 16.2; 32.3; 59.4; 60.3; 61.6; 63.2; 117.7; 121.0; 122.2; 122.5; 126.1; 129.9; 131.4; 144.0; 145.6; 147.1; 147.9; 164.7; 166.2. LC/MS: 367 (100, M^+), 262 (54), 221 (46), (97). HR-EI-MS: 367.1076 (M^+ , $C_{21}H_{21}NO_5^+$; calc. 367.1081).

Triethyl Cyclopenta[cd]azulene-1,1,4(2H)-tricarboxylate (6d). As described for **6a**; from **3d**. Yield: 38%. Blue solid. M.p. 130–131°. IR (KBr): 1720, 1680, 1400, 1130. 1H -NMR: 1.25 (t , $J=7.1$, 6 H); 1.45 (t , $J=7.0$, 3 H); 4.05 (s , 2 H); 4.25 (q , $J=7.1$, 4 H); 4.41 (q , $J=7.0$, 2 H); 7.40 (t , $J=10.0$, 1 H); 7.60 (d , $J=9.7$, 1 H); 7.72 (t , $J=10.0$, 1 H); 8.05 (s , 1 H); 9.10 (d , $J=9.8$, 1 H). ^{13}C -NMR: 14.0; 14.6; 29.7; 36.2; 59.8; 62.4; 120.3; 124.8; 126.8; 130.9; 133.6; 138.1; 138.6; 150.9; 165.6; 169.2. LC/MS: 370 (35, M^+), 325 (10), 296 (38), 251 (100), 224 (34.5), 196 (33.8), 179 (58).

Triethyl 3-Methylcyclopenta[cd]azulene-1,1,4(2H)-tricarboxylate (6e). As described for **6a**; from **3e**. Yield 35%. Blue solid. M.p. 70–71°. IR (KBr): 1740, 1720, 1680, 1440, 1400, 1210. 1H -NMR: 1.25 (m , 6 H); 1.45 (t , $J=7.1$, 3 H); 2.75 (s , 3 H); 3.9 (s , 2 H); 4.25 (q , $J=7.1$, 4 H); 4.40 (q , $J=7.1$, 2 H); 7.35 (dd , $J=2.3$, 9.6, 1 H); 7.61 (t , $J=9.3$, 1 H); 7.62 (t , $J=9.3$, 1 H); 9.00 (d , $J=9.7$, 1 H). ^{13}C -NMR: 13.9; 14.6; 16.3; 35.4; 59.5; 62.3; 136.4; 136.8; 145.4; 148.6; 149.6; 166.2; 169.4. LC/MS: 384 (56.5, M^+), 339 (12.9), 311 (44.3), 265 (100).

Ethyl 3,4-Dihydro-3-oxo-1H-azuleno[1,8-cd]joxepine-9-carboxylate (7a). As described for **6a**; from **3e**. Yield: 52%. Red solid. M.p. 169–170°. IR (KBr): 1740, 1680, 1420, 1220, 1060, 1010. 1H -NMR: 1.40 (t , $J=7.1$, 3 H); 4.28 (q , $J=7.1$, 2 H); 4.50 (s , 2 H); 5.60 (s , 2 H); 7.25 (d , $J=9.9$, 1 H); 7.40 (t , $J=9.7$, 1 H); 7.65 (t , $J=9.9$, 1 H); 8.12 (s , 1 H); 9.45 (d , $J=9.7$, 1 H). ^{13}C -NMR: 14.4; 45.2; 59.9; 66.5; 115.7; 122.6; 127.6; 130.1; 139.0; 139.3; 139.4; 140.6; 142.1; 164.8; 170.6; 175.8. LC/MS: 270 (15, M^+), 269 (82, $[M-H]^+$), 224 (24.8), 180 (71.9), 152 (100). HR-EI-MS: 270.0898 (M^+ , $C_{16}H_{14}O_4^+$; calc. 270.0888).

Ethyl 3,4-Dihydro-10-methyl-3-oxo-1H-azuleno[1,8-cd]oxepine-9-carboxylate (7b). As described for **6a**; from **3e**. Yield: 54%. Red solid. M.p. 144–145°. IR (KBr): 1740, 1680, 1410, 1220, 1130. ¹H-NMR: 1.45 (*t*, *J* = 7.1, 3 H); 2.70 (*s*, 3 H); 4.42 (*q*, *J* = 7.1, 2 H); 4.50 (*s*, 2 H); 5.62 (*s*, 2 H); 7.21 (*d*, *J* = 9.9, 1 H); 7.41 (*t*, *J* = 9.8, 1 H); 7.55 (*t*, *J* = 9.8, 1 H); 9.46 (*d*, *J* = 9.7, 1 H). ¹³C-NMR: 14.7; 14.8; 45.3; 60.0; 63.9; 115.6; 122.1; 127.6; 130.2; 137.2; 137.6; 138.4; 139.6; 140.5; 149.9; 165.8; 171.0. LC/MS: 284 (177, *M*⁺), 283 (100, [*M* – H]⁺), 238 (30), 210 (17.6), 194 (52.8), 164 (81.2). HR-EI-MS: 284.1042 (*M*⁺, C₁₇H₁₆O₄⁺; calc. 284.1044).

Ethyl 4-Cyanocyclopenta[cd]azulene-1-carboxylate (8a). To a stirred soln. of (**6a** (1 mmol, 0.323 g) in toluene (3 ml) was added DDQ (1.2 mmol, 0.272 g), and the mixture was refluxed for 1 h. The mixture was allowed to cool to r.t., diluted with H₂O (10 ml), and extracted with AcOEt. The combined org. layer was dried (MgSO₄), concentrated, and subjected to CC (SiO₂; hexane/AcOEt 2 : 1) to afford **8a** (0.194 g, 78%). Green solid. M.p. 139–140°. IR (KBr): 2950, 2200, 1695, 1460, 1255, 1090. ¹H-NMR: 1.43 (*t*, *J* = 7.1, 3 H); 4.41 (*q*, *J* = 7.1, 2 H); 7.98 (*s*, 1 H); 8.27 (*s*, 1 H); 8.41 (*t*, *J* = 9.6, 2 H); 8.66 (*d*, *J* = 9.6, 1 H); 9.38 (*d*, *J* = 9.6, 1 H). ¹³C-NMR: 14.5; 60.2; 103.0; 116.3; 126.0; 130.7; 132.4; 134.5; 135.5; 136.4; 138.0; 143.8; 144.7; 144.9; 145.6; 164.4. LC/MS: 250 (34.8, *M*⁺), 249 (100, *M*⁺), 221 (52.6), 204 (96.5), 177 (67.6), 149 (22.2). HR-EI-MS: 249.0803 (*M*⁺, C₁₆H₁₁NO₂⁺; calc. 249.0771).

Ethyl 4-Cyano-2-methylcyclopenta[cd]azulene-1-carboxylate (8b). As described for **8a**; from **6b**. Yield: 86%. Green solid. M.p. 159–160°. IR (KBr): 2200, 1680, 1660, 1520, 1440, 1220, 1120. ¹H-NMR: 1.45 (*t*, *J* = 7.0, 3 H); 2.85 (*s*, 3 H); 4.41 (*q*, *J* = 7.0, 2 H); 8.00 (*s*, 1 H); 8.25 (*t*, *J* = 10.5, 1 H); 8.35 (*t*, *J* = 10.2, 1 H); 8.60 (*d*, *J* = 8.4, 1 H); 9.25 (*d*, *J* = 9.0, 1 H). ¹³C-NMR: 12.8; 14.2; 60.1; 99.0; 119.2; 124.0; 128.3; 131.8; 134.9; 136.2; 136.8; 138.3; 144.0; 144.7; 145.2; 145.6; 165.2. LC/MS: 263 (100, *M*⁺), 235 (50), 218 (92), 191 (84), 162 (44). HR-EI-MS: 263.0943 (*M*⁺, C₁₇H₁₃NO₂⁺; calc. 263.0927).

Ethyl 4-Cyano-2-ethoxycyclopenta[cd]azulene-1-carboxylate (8c). As described for **8a**; from **6c**. Yield: 0.234 g (80%). Green solid. M.p. 180–181°. IR (KBr): 2952, 2185, 1676, 1650, 1480, 1290, 796. ¹H-NMR: 1.39 (*t*, *J* = 7.2, 3 H); 1.68 (*t*, *J* = 7.0, 3 H); 4.39 (*q*, *J* = 7.2, 2 H); 4.64 (*q*, *J* = 7.0, 2 H); 7.79 (*s*, 1 H); 8.10 (*t*, *J* = 10.0, 1 H); 8.31 (*t*, *J* = 10.0, 1 H); 8.52 (*d*, *J* = 10.0, 1 H); 9.18 (*d*, *J* = 10.0, 1 H). ¹³C-NMR: 14.0; 14.5; 59.6; 70.4; 103.0; 104.4; 116.2; 118.9; 132.5; 132.6; 132.8; 133.0; 138.8; 140.8; 141.0; 148.3; 164.0; 173.1. LC/MS: 294 (*M*⁺, 9), 293 (46, *M*⁺), 220 (19), 219 (100), 193 (13), 164 (14). HR-EI-MS: 293.1060 (*M*⁺, C₁₈H₁₅NO₃⁺; calc. 293.1048).

Diethyl 3,4-Dihydro-5-methylcyclopenta[ef]heptalene-1,6-dicarboxylate (10a). To a soln. of *ethyl 4-(2-ethoxy-2-oxoethyl)-3-(3-oxobutyl)azulene-1-carboxylate (9a)*; 1 mmol, 0.356 g) in EtOH (4 ml) at r.t. was added EtONa (1.2 mmol, 0.081 g). The mixture was heated at 100° under reflux for 10 min. Then, the mixture was allowed to cool to r.t., diluted with H₂O (10 ml), and extracted with AcOEt. The combined org. layer was dried (MgSO₄), concentrated, and subjected to CC (SiO₂; hexane/AcOEt 2 : 1) to afford **10a** (0.209 g, 62%). Dark blue, viscous liquid. IR (KBr): 2980, 1710, 1685, 1562, 1468, 1073, 792. ¹H-NMR: 1.20 (*t*, *J* = 7.1, 3 H); 1.38 (*t*, *J* = 7.1, 3 H); 2.26 (*s*, 3 H); 2.62 (*t*, *J* = 5.6, 2 H); 3.10 (*t*, *J* = 6.1, 2 H); 4.18 (*q*, *J* = 7.2, 2 H); 4.32 (*q*, *J* = 7.2, 2 H); 7.06 (*d*, *J* = 10.1, 1 H); 7.22 (*t*, *J* = 9.6, 1 H); 7.40 (*t*, *J* = 9.6, 1 H); 8.14 (*s*, 1 H); 9.32 (*d*, *J* = 9.6, 1 H). ¹³C-NMR: 14.0; 14.2; 16.8; 22.6; 27.3; 34.2; 60.2; 114.2; 125.4; 127.8; 129.3; 131.4; 134.8; 135.2; 135.9; 141.9; 143.0; 148.8; 151.3; 165.6; 169.4. LC/MS: 338 (100, *M*⁺).

Diethyl 3,4-Dihydro-2,5-dimethylcyclopenta[ef]heptalene-1,6-dicarboxylate (10b). As described for **10a**; from **9b**. Yield: 78%. Dark-blue, viscous liquid. IR (KBr): 2979, 1713, 1687, 1563, 1460, 1413, 1382, 1262, 1210, 1093, 1073, 792. ¹H-NMR: 1.15 (*t*, *J* = 7.2, 3 H); 1.42 (*t*, *J* = 7.2, 3 H); 2.16 (*s*, 3 H); 2.60 (*t*, *J* = 5.7, 2 H); 2.64 (*s*, 3 H); 3.08 (*t*, *J* = 6.3, 2 H); 4.15 (*q*, *J* = 7.2, 2 H); 4.42 (*q*, *J* = 7.2, 2 H); 7.09 (*d*, *J* = 10.2, 1 H); 7.25 (*t*, *J* = 9.6, 1 H); 7.44 (*t*, *J* = 9.6, 1 H); 9.36 (*d*, *J* = 9.6, 1 H). ¹³C-NMR: 13.9; 14.5; 15.1; 23.6; 27.3; 35.2; 59.8; 60.8; 114.9; 126.0; 128.4; 129.6; 132.4; 134.5; 135.0; 135.6; 142.3; 142.8; 148.0; 151.0; 166.3; 169.7. LC/MS: 352 (100, *M*⁺), 279 (66), 233 (33), 213 (63), 206 (66), 205 (38), 191 (43), 189 (69), 185 (45), 165 (64), 152 (45).

Diethyl 2-Ethoxy-3,4-dihydro-5-methylcyclopenta[ef]heptalene-1,6-dicarboxylate (10c). Yield: 70%. Dark-blue, viscous liquid. IR (KBr): 2980, 1715, 1689, 1561, 1462, 1212, 1102, 1072, 793. ¹H-NMR: 1.21 (*t*, *J* = 7.1, 3 H); 1.48 (*t*, *J* = 7.1, 3 H); 1.68 (*t*, *J* = 7.0, 3 H); 2.62 (*t*, *J* = 5.8, 2 H); 2.72 (*s*, 3 H); 3.18 (*t*, *J* = 6.2, 2 H); 4.18 (*q*, *J* = 7.2, 2 H); 4.40 (*q*, *J* = 7.2, 2 H); 4.39 (*q*, *J* = 7.2, 2 H); 7.00 (*d*, *J* = 10.0, 1 H); 7.20 (*t*, *J* = 9.4, 1 H); 7.40 (*t*, *J* = 9.4, 1 H); 9.26 (*d*, *J* = 9.2, 1 H). ¹³C-NMR: 14.2; 14.8; 15.4; 24.3; 27.9; 36.2; 59.6; 62.8;

71.4; 115.2; 125.8; 128.9; 129.9; 133.4; 134.9; 135.5; 136.4; 143.3; 143.8; 148.1; 151.4; 166.1; 169.9. LC/MS: 382 (100, M^+).

Diethyl 1,2-Dihydro-2-(2-oxopropyl)cyclopenta[cd]azulene-1,4-dicarboxylate (12a). To a soln. of *ethyl 4-(2-ethoxy-2-oxoethyl)-3-(3-oxobut-1-enyl)azulene-1-carboxylate (11a)*; 1 mmol, 0.354 g) in DMF (3 ml) at r.t. was added CaH_2 (1.2 mmol, 0.050 g), and the mixture was heated at 170° under reflux for 10 min. Then, the mixture was allowed to cool to r.t., diluted with H_2O (10 ml), and extracted with AcOEt. The combined org. layer was dried (MgSO_4), concentrated, and submitted to CC (SiO_2 ; hexane/AcOEt 4 : 1) to afford **12a** (0.227 g, 64%). Dark-blue, viscous liquid. IR (KBr): 2978, 2931, 1734, 1683, 1454, 1406, 1210, 791. $^1\text{H-NMR}$: 1.26 (t, $J = 7.1$, 3 H); 1.40 (t, $J = 7.1$, 3 H); 2.18 (s, 3 H); 2.66–2.69 (m, 1 H); 3.18–3.21 (m, 1 H); 4.24 (d, $J = 7.1$, 2 H); 4.36 (q, $J = 7.1$, 4 H); 7.23 (d, $J = 10.0$, 1 H); 7.28 (t, $J = 10.0$, 1 H); 7.59 (t, $J = 10.0$, 1 H); 8.08 (s, 1 H); 8.98 (d, $J = 10.0$, 1 H). $^{13}\text{C-NMR}$: 13.8; 14.8; 15.4; 29.8; 37.9; 48.2; 60.2; 64.2; 118.1; 122.4; 126.2; 134.2; 136.9; 137.2; 137.9; 144.8; 149.1; 150.1; 166.4; 171.9; 207.8. LC/MS: 355 (23, M^+), 354 (100, M^+).

Diethyl 1,2-Dihydro-3-methyl-2-(2-oxopropyl)cyclopenta[cd]azulene-1,4-dicarboxylate (12b). As described for **12a**; from **11b**. Yield: 82%. Dark-blue, viscous liquid. IR (KBr): 2979, 2932, 1732, 1685, 1595, 1545, 1513, 1455, 1407, 1207, 1164, 1130, 1069, 783. $^1\text{H-NMR}$: 1.29 (t, $J = 7.1$, 3 H); 1.43 (t, $J = 7.1$, 3 H); 2.15 (s, 3 H); 2.69–2.72 (m, 1 H); 2.74 (s, 3 H); 3.20–3.22 (m, 1 H); 4.26 (d, $J = 7.1$, 2 H); 4.41 (q, $J = 7.1$, 4 H); 7.25 (d, $J = 10.0$, 1 H); 7.32 (t, $J = 10.0$, 1 H); 7.54 (t, $J = 10.0$, 1 H); 8.95 (d, $J = 10.0$, 1 H). $^{13}\text{C-NMR}$: 14.2; 14.6; 15.9; 30.1; 38.9; 48.6; 59.5; 61.4; 64.0; 118.0; 122.8; 126.0; 134.1; 136.8; 137.4; 138.2; 144.9; 148.9; 150.4; 166.2; 171.6; 207.3. LC/MS: 368 (100, M^+), 311 (53), 310 (25), 238 (19). HR-EI-MS: 368.4298 (M^+ , $\text{C}_{22}\text{H}_{24}\text{O}_3$); 368.4324.

Diethyl 3-Ethoxy-1,2-dihydro-2-(2-oxopropyl)cyclopenta[cd]azulene-1,4-dicarboxylate (12c). As described for **12a**; from **11b**. Yield: 0.310 g (78%). Dark-blue, viscous liquid. IR (KBr): 2979, 2930, 1732, 1684, 1593, 1455, 1207, 1162, 1069, 78. $^1\text{H-NMR}$: 1.26 (t, $J = 7.2$, 3 H); 1.40 (t, $J = 7.2$, 3 H); 1.72 (t, $J = 7.2$, 3 H); 2.70–2.73 (m, 1 H); 2.75 (s, 3 H); 3.21–3.23 (m, 1 H); 4.24 (d, $J = 7.1$, 2 H); 4.40 (q, $J = 7.1$, 4 H); 4.68 (q, $J = 7.1$, 2 H); 7.24 (d, $J = 10.1$, 1 H); 7.30 (t, $J = 10.1$, 1 H); 7.52 (t, $J = 10.1$, 1 H); 8.92 (d, $J = 10.2$, 1 H). $^{13}\text{C-NMR}$: 14.0; 14.5; 16.1; 30.4; 38.7; 48.9; 60.2; 61.1; 64.4; 71.4; 117.8; 122.2; 125.8; 134.2; 136.1; 137.0; 138.1; 144.7; 148.6; 150.0; 166.1; 171.9; 207.4. LC/MS: 398 (100, M^+), 341 (52), 340 (20).

Diethyl 2-(2-Oxopropyl)cyclopenta[cd]azulene-1,4-dicarboxylate (13a). As described for **8**; from **12a**. Yield: 0.267 g (76%). Dark-green, viscous liquid. IR (KBr): 2986, 2361, 1772, 1686, 1457, 1375, 1054. $^1\text{H-NMR}$ (CDCl_3 , 300 MHz): 1.42 (t, $J = 7.2$, 6 H); 2.28 (s, 3 H); 4.48 (q, $J = 7.1$, 4 H); 4.50 (s, 2 H); 8.04 (s, 1 H); 8.12–8.22 (m, 2 H); 9.11–9.20 (m, 2 H). $^{13}\text{C-NMR}$ (CDCl_3 , 75 MHz): 14.4; 16.6; 29.4; 30.3; 44.6; 59.6; 120.2; 120.9; 134.2; 134.6; 134.9; 135.5; 135.7; 136.4; 143.5; 145.6; 153.4; 159.7; 165.2; 165.1; 204.0. LC/MS: 352 (100, M^+).

Diethyl 2-Methyl-3-(2-oxopropyl)cyclopenta[cd]azulene-1,4-dicarboxylate (13b). As described for **8**; from **12b**. Yield: 0.307 g (84%). Green solid. M.p. 112–113°. IR (KBr): 2987, 2362, 1770, 1683, 1457, 1378, 1243, 1168, 1110, 1056. $^1\text{H-NMR}$: 1.44 (t, $J = 7.1$, 6 H); 2.29 (s, 3 H); 2.85 (s, 3 H); 4.45 (q, $J = 7.1$, 4 H); 4.53 (s, 2 H); 8.10–8.20 (m, 2 H); 9.10–9.20 (m, 2 H). $^{13}\text{C-NMR}$: 14.5; 16.3; 29.6; 30.1; 44.8; 59.7; 59.9; 120.6; 120.7; 133.8; 134.0; 134.3; 135.0; 135.6; 136.6; 143.9; 145.8; 153.4; 159.5; 165.1; 165.4; 204.2. LC/MS: 366 (100, M^+), 324 (22), 320 (21), 248 (26), 177 (23). HR-EI-MS: 366.01289 (M^+ , $\text{C}_{22}\text{H}_{22}\text{O}_3$); 366.01342.

Diethyl 2-Ethoxy-3-(2-oxopropyl)cyclopenta[cd]azulene-1,4-dicarboxylate (13c). As described for **8**; from **12c**. Yield: 0.285 g (72%). Green liquid. IR (KBr): 2985, 2366, 1772, 1684, 1450, 1110. $^1\text{H-NMR}$: 1.40 (t, $J = 7.2$, 6 H); 1.69 (t, $J = 7.2$, 3 H); 2.30 (s, 3 H); 4.38 (q, $J = 7.2$, 4 H); 4.48 (s, 2 H); 4.68 (q, $J = 7.1$, 2 H); 8.06–8.16 (m, 2 H); 9.16–9.24 (m, 2 H). $^{13}\text{C-NMR}$: 14.4; 17.2; 30.1; 30.4; 44.4; 59.1; 64.4; 71.2; 122.6; 122.9; 133.2; 134.1; 134.3; 135.3; 135.2; 135.9; 143.8; 145.9; 152.9; 160.1; 165.3; 165.2; 204.6. LC/MS: 396 (100, M^+).

Diethyl 4-Acetyl-5,6-dihydrocyclopenta[ef]heptalene-1,6-dicarboxylate (15a). As described for **5**; from **14a**. Yield: 0.153 g (42%). Brown liquid. IR (KBr): 2977, 1730, 1680, 1651, 1210, 1055. $^1\text{H-NMR}$: 1.18 (t, $J = 7.1$, 3 H); 1.44 (t, $J = 7.1$, 3 H); 2.50 (s, 3 H); 3.98–4.10 (m, 3 H); 4.41 (q, $J = 7.2$, 2 H); 4.46 (q, $J = 7.2$, 2 H); 7.30 (d, $J = 10.0$, 1 H); 7.54 (t, $J = 10.0$, 1 H); 7.64 (t, $J = 10.0$, 1 H); 8.06 (s, 1 H); 8.14 (s, 1 H); 9.51 (d, $J = 10.0$, 1 H). $^{13}\text{C-NMR}$: 14.1; 14.3; 15.5; 25.7; 28.9; 55.1; 60.5; 118.2; 123.1; 129.1; 133.8; 134.3; 135.0; 136.7; 137.7; 138.9; 145.0; 148.2; 154.0; 166.2; 171.4; 196.6. LC/MS: 366 (100, M^+).

Diethyl 4-Acetyl-5,6-dihydro-2-methylcyclopenta[ef]heptalene-1,6-dicarboxylate (15b). As described for **5**; from **14b**. Yield: 0.182 g (48%). Brown liquid. IR (KBr): 2979, 1731, 1685, 1652, 1455, 1211, 1056, 744. ¹H-NMR: 1.08 (*t*, *J* = 7.1, 3 H); 1.45 (*t*, *J* = 7.1, 3 H); 2.51 (*s*, 3 H); 2.87 (*s*, 3 H); 3.94–4.01 (*m*, 3 H); 4.46 (*q*, *J* = 7.1, 2 H); 4.47 (*q*, *J* = 7.1, 2 H); 7.33 (*d*, *J* = 10.0, 1 H); 7.51 (*t*, *J* = 10.0, 1 H); 7.66 (*t*, *J* = 10.0, 1 H); 8.01 (*s*, 1 H); 9.50 (*d*, *J* = 10.0, 1 H). ¹³C-NMR: 14.0; 14.5; 15.0; 25.2; 28.4; 55.9; 60.3; 61.0; 118.7; 123.4; 128.9; 133.3; 133.8; 134.9; 136.9; 137.3; 138.6; 144.6; 148.7; 154.3; 166.0; 171.0; 197.0. LC/MS: 380 (30, *M*⁺), 306 (48), 219 (18), 189 (100), 165 (41).

Diethyl 4-Acetyl-2-ethoxy-5,6-dihydrocyclopenta[ef]heptalene-1,6-dicarboxylate (15c). As described for **5**; from **14c**. Yield: 0.164 g (40%). Brown liquid. IR (KBr): 2977, 1728, 1680, 1450, 1210, 1051, 744. ¹H-NMR: 1.18 (*t*, *J* = 7.1, 3 H); 1.54 (*t*, *J* = 7.1, 3 H); 1.72 (*t*, *J* = 7.2, 3 H); 2.56 (*s*, 3 H); 3.89–4.01 (*m*, 3 H); 4.40 (*q*, *J* = 7.1, 2 H); 4.49 (*q*, *J* = 7.1, 2 H); 4.70 (*q*, *J* = 7.1, 2 H); 7.30 (*d*, *J* = 10.0, 1 H); 7.50 (*t*, *J* = 10.0, 1 H); 7.65 (*t*, *J* = 10.0, 1 H); 8.06 (*s*, 1 H); 9.51 (*d*, *J* = 10.0, 1 H). ¹³C-NMR: 14.2; 14.8; 15.1; 25.4; 27.9; 56.0; 60.0; 61.2; 71.2; 118.0; 123.1; 129.0; 133.1; 133.4; 134.6; 136.7; 137.0; 138.1; 144.3; 148.2; 154.1; 166.1; 171.9; 196.8. LC/MS: 410 (100, *M*⁺), 321 (40), 229 (12).

Ethyl 4-Acetyl-5,6-dihydrocyclopenta[ef]heptalene-1-carboxylate (16a). As described for **5**; from **14a**. Yield: 0.053 g (18%). Brown solid. M.p. 113–114°. IR (KBr): 2980, 1688, 1585, 1418, 1211, 1080, 744. ¹H-NMR: 1.43 (*t*, *J* = 7.1, 3 H); 2.48 (*s*, 3 H); 3.00 (*t*, *J* = 7.1, 2 H); 3.30 (*t*, *J* = 7.1, 2 H); 4.41 (*q*, *J* = 7.1, 2 H); 7.40 (*d*, *J* = 10.0, 1 H); 7.52 (*t*, *J* = 10.0, 1 H); 7.74 (*t*, *J* = 10.0, 1 H); 7.85 (*s*, 1 H); 8.38 (*s*, 1 H); 9.74 (*dd*, *J* = 1.2, 10.0, 1 H). ¹³C-NMR: 14.5; 14.8; 25.2; 26.4; 40.3; 60.2; 118.1; 123.8; 128.2; 132.6; 133.9; 136.5; 137.9; 139.1; 143.7; 153.6; 153.7; 166.1, 197.5. LC/MS: 294 (53, *M*⁺), 279 (42), 251 (68), 178 (88), 152 (100).

Ethyl 4-Acetyl-5,6-dihydro-2-methylcyclopenta[ef]heptalene-1-carboxylate (16b). As described for **5**; from **14b**. Yield: 0.064 g (21%). Brown solid. M.p. 108–109°. IR (KBr): 2980, 1688, 1652, 1455, 1211, 1080. ¹H-NMR: 1.45 (*t*, *J* = 7.1, 3 H); 2.51 (*s*, 3 H); 2.87 (*s*, 3 H); 2.98 (*t*, *J* = 7.1, 2 H); 3.24 (*t*, *J* = 7.1, 2 H); 4.46 (*q*, *J* = 7.1, 2 H); 7.33 (*d*, *J* = 10.0, 1 H); 7.43 (*t*, *J* = 10.0, 1 H); 7.61 (*t*, *J* = 10.0, 1 H); 7.99 (*s*, 1 H); 9.42 (*d*, *J* = 10.0, 1 H). ¹³C-NMR: 14.5; 14.8; 25.2; 26.4; 40.3; 60.2; 118.1; 123.8; 128.2; 132.6; 133.9; 136.5; 137.9; 139.1; 143.7; 153.6; 153.7; 166.1; 197.5. LC/MS: 308 (14, *M*⁺), 294 (60), 279 (42), 223 (62), 178 (100), 152 (71).

Ethyl 4-Acetyl-5,6-dihydro-2-ethoxycyclopenta[ef]heptalene-1-carboxylate (16c). As described for **5a**; from **14c**. Yield: 0.094 g (28%). Brown, viscous liquid. IR (KBr): 2982, 1686, 1650, 1451, 1209. ¹H-NMR: 1.41 (*t*, *J* = 7.2, 3 H); 2.50 (*s*, 3 H); 1.72 (*t*, *J* = 7.2, 3 H); 2.89 (*t*, *J* = 7.1, 2 H); 3.20 (*t*, *J* = 7.1, 2 H); 4.40 (*q*, *J* = 7.1, 2 H); 4.72 (*q*, *J* = 7.1, 2 H); 7.30 (*d*, *J* = 10.0, 1 H); 7.41 (*t*, *J* = 10.0, 1 H); 7.64 (*t*, *J* = 10.0, 1 H); 8.00 (*s*, 1 H); 9.40 (*d*, *J* = 10.0, 1 H). ¹³C-NMR: 14.2; 14.9; 25.6; 26.0; 40.7; 57.1; 61.0; 70.2; 118.7; 123.1; 128.0; 132.1; 133.0; 136.2; 137.0; 139.9; 143.8; 153.5; 153.4; 166.0; 197.0. LC/MS: 338 (100, *M*⁺), 224 (40), 299 (22).

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